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Application No. <u>09945512</u>	Prepared by <u>MRUSIA</u>	Tracking Number <u>05974755</u>	
Examiner-GAU <u>BROTH-2812</u>	Date <u>10-04-04</u>	Week Date <u>07-05-04</u>	
	No. of queries <u>1</u>	<u>IPW</u>	

## JACKET

- |                      |                        |                    |  |
|----------------------|------------------------|--------------------|--|
| a. Serial No.        | f. Foreign Priority    | k. Print Claim(s)  | <input checked="" type="checkbox"/> PTO-1449 |
| b. Applicant(s)      | g. Disclaimer          | l. Print Fig.      | q. PTOL-85b                                  |
| c. Continuing Data   | h. Microfiche Appendix | m. Searched Column | r. Abstract                                  |
| d. PCT               | i. Title               | n. PTO-270/328     | s. Sheets/Figs                               |
| e. Domestic Priority | j. Claims Allowed      | o. PTO-892         | t. Other                                     |

## SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

## CLAIMS

- a. Claim(s) Missing
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## MESSAGE

PTO-1449: Please either initial  
or line through the citations.  
Copies provided for reference.

Thank you,  
initials MR

## RESPONSE

initials

AUG 30 2004

PTO-2200 (10-01)  
 Approved for use through 12/31/2002. OMB #5440-001  
 US Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A-PTO

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 STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Complete if Known:

Application Number	09/945,512
Filing Date	August 30, 2001
First Named Inventor	Forbes, Leonard
Group Art Unit	2812
Examiner Name	Booth, Richard

Sheet 1 of 1

Attorney Docket No: 1303.027US1

**US PATENT DOCUMENTS**

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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

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STATEMENT BY APPLICANT**

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Spec 1 of 1

**January 1999**

Application Number	09/945512
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<b>Filing Date</b>	<b>August 30, 2001</b>
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First Named Inventor	Forbes, Leonard
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Group Art Unit	2812
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<b>Examiner Name</b>	<b>Booth, Richard</b>
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Attorney Docket No. 1303.027US1

## US PATENT DOCUMENTS

Examiner Letter #	USP Document Number	Publication Date	Name of Patent or Applicant of cited Document	Class	Subclass	Filing Date if Appropriate
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Examiner Initials	Foreign Document No.	Publication Date	Name of Inventor or Applicant of cited Document	Class	Subclass	T
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## OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the book (book, magazine, journal, series, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or company where published.	Y
		SHI, Y., "Tunneling Leakage Current in Ultrathin (<4 nm) Nitride/Oxide Stack Dielectrics", IEEE Electron Device Letters, 19(10), (1998), pp. 398-399	
		ZHANG, "Atomic Layer Deposition of High Dielectric Constant Nanolaminates", Journal of The Electrochemical Society, 148(4), (2001), F63-F68	

## EXAMPLE

DATE CONSIDERED \_\_\_\_\_

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